



#13 GP 1635  
Wattala

Docket No. FLNYA FR7340/044002

CERTIFICATE OF MAILING BY "FIRST CLASS MAIL"

Date of Deposit:

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I hereby certify that this correspondence is being deposited with the United States Postal Service as **first class mail** with sufficient postage on the date indicated above and is addressed to the Assistant Commissioner for ☒ Patents ☐ Trademarks, Washington D.C. 20231.

GERMAINE SARDA

Printed Name

*Germaine Sarda*  
Signature

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

MAY 10 1999

MATRIX CUSTOMER  
SERVICE CENTER

In the application of:

Carson, et al.

Serial No.: 09/265,191

Filing Date: 03/10/99

For: RECOMBINANT GENE EXPRESSION  
VECTORS AND METHODS FOR USE  
OF SAME TO ENHANCE THE  
IMMUNE RESPONSE OF A HOST TO  
AN ANTIGEN

Examiner: Unknown

Group Art Unit: Unknown

TRANSMITTAL

Assistant Commissioner for Patents  
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, applicants submit for consideration in the above-identified application the documents listed on the attached Form PTO-1449. Copies of the documents are also submitted herewith. References were previously submitted in the parent case, Serial No. 08/593,554, filed 01/30/96. The attached references are new. The Examiner is requested to make these documents of record in the application.

This Information Disclosure Statement is submitted:

- ☒ Within three months of the application filing date or before receipt of a first Office Action on the merits; accordingly, no fee or separate requirements are required.
- ☐ After receipt of a first Office Action on the merits but before a final Office Action or Notice of Allowance.
- ☐ A fee is required. An authorization to charge the deposit account is provided below.
- ☐ A Certification under 37 C.F.R. § 1.97(e) is provided below; accordingly, no fee is believed to be due.
- ☐ After receipt of a final Office Action or Notice of Allowance, but before payment of the issue fee. Accordingly, a Petition requesting consideration of the Information Disclosure Statement, an authorization to charge our deposit account, and a Certification under 37 C.F.R. § 1.97(e) are provided herein.

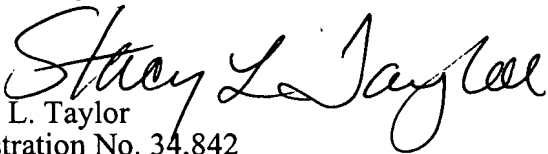
The Assistant Commissioner is hereby authorized to charge any fees which may be required by this statement to **Deposit Account Number 50-0872.**

Applicants would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.

This Information Disclosure Statement under 37 C.F.R. § 1.97 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to

the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

Respectfully submitted,

  
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